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# Ordinance on Telecommunications Services (OTS)

of 9 March 2007 (Status as of 1 January 2015)

Please note: this translation does not yet include the amendments of 1.7.2015

The Swiss Federal Council.

based on Article 4 paragraphs 2 and 3, 11 paragraph 3, 12a paragraphs 1 and 2, 12b, 12c paragraph 4, 12d paragraph 2, 13a paragraph 3, 14 paragraph 3, 16 paragraphs 1, let. d, 2 and 3, 17, 19 paragraph 3, 21a paragraphs 2 and 3, 35 paragraph 3, 38 paragraphs 3 and 4, 41 paragraph 1, 45a paragraph 2, 46, 47 paragraph 1, 48a, 59 paragraph 3, 62, 64 paragraph 2 and 69 of the Telecommunications Act of 30 April 1997 (TCA)<sup>1</sup> ordains:

# **Chapter 1: Definitions**

#### Art. 1

#### In this Ordinance:

- a. user means any person who uses the services of a provider of telecommunications services:
- customer means any person who has concluded a contract with a telecommunications service provider pertaining to the use of those services;
- c. value-added service means a service which is provided via a telecommunication service and which is billed by a provider of telecommunications services in addition to telecommunication services.
- d.2 access price: price for access to the services and facilities of dominant providers in accordance with Article 11 paragraph 1 TCA.

#### AS 2007 2915

- <sup>1</sup> RS **784.10**:
- Inserted by No I of the Ordinance of 14 March 2014, in force since 1 July 2014 (AS 2014 729).

# **Chapter 2: General Provisions on Telecommunications Services**

# **Art. 2** Scope of the telecommunications service

Any person transmitting data:

- a. within a building;
- b. on a real property, on two adjacent or opposite real properties, separated by a road, path, railway line or watercourse;
- c. within an enterprise, between the parent company and subsidiaries or within a group;
- d. within statutory bodies and between them

shall not be deemed to provide a telecommunications service.

## **Art. 3** Exceptions to the obligation to notify

<sup>1</sup> The following are exempted from the obligation to notify:

- foreign providers of international telecommunications services which entrust the termination of their connections in Switzerland to other providers which have notified their services:
- b. providers which provide telecommunications services only within the framework of a radiocommunication licence which is limited to less than one month;
- c.³ providers whose telecommunications services are limited to the transmission by wire of programme services as defined in Article 2 letter g and Article 59–62 of the Federal Act of 24 March 2006<sup>4</sup> on Radio and Television (RTVA) and which have fewer than 5000 customers.
- <sup>2</sup> The Federal Office of Communications (OFCOM) may release providers of telecommunications services from the obligation to notify if the services are of minor economic and technical significance and are intended exclusively for scientific applications.

## **Art. 4** List of notified providers

- <sup>1</sup> OFCOM shall maintain a list of notified providers of telecommunications services.
- <sup>2</sup> It shall delete from the list any provider that has ceased its commercial activity or which is subject to any of the exceptions listed in Article 3. In so doing, it shall base itself in particular on the data which the providers have submitted to it for statistical purposes.<sup>5</sup>

Inserted by No I of the Ordinance of 4 Nov. 2009, in force since 1 Jan. 2010 (AS 2009 5821).

<sup>4</sup> SR 784.40

<sup>5</sup> Amended by No I of the Ordinance of 4 Nov. 2009, in force since 1 Jan. 2010 (AS 2009 5821).

# **Art. 5** Correspondence address in Switzerland

Telecommunications service providers that are obliged to notify and which are based abroad must designate a correspondence address in Switzerland to which communications, writs and official decisions, inter alia, may be delivered with legal force.

# **Art. 6** Right to connect telecommunications terminal equipment

- <sup>1</sup> The telecommunications service provider may not refuse to connect telecommunications terminal equipment to the corresponding interfaces for technical reasons if this telecommunications terminal equipment meets the requirements of Article 7 of the Ordinance of 14 June 2002<sup>6</sup> on Telecommunications Installations (TIO).
- <sup>2</sup> OFCOM may grant a telecommunications service provider approval to refuse or cease connection of telecommunications terminal equipment that corresponds to the requirements of Article 7 TIO or to cease the service for this equipment if there is a risk that the equipment will cause radio interference or that it will have a damaging effect on the network or network operation. OFCOM may also take other appropriate measures.
- <sup>3</sup> In an emergency, a provider may immediately disconnect telecommunications equipment from the network if the protection of the network so requires and if the user can be offered an alternative immediately and free of charge. The provider shall immediately inform OFCOM.

#### **Art.** 7 Telecommunications network interfaces

- <sup>1</sup> The telecommunications service provider must inform OFCOM of the types of interface it provides for access to telecommunications networks.
- <sup>2</sup> It must publish accurate and appropriate technical specifications for these interfaces before it makes the services provided across these interfaces publicly available. Updated specifications must be published without delay.
- <sup>3</sup> The specifications must be sufficiently detailed so that the manufacture of telecommunications terminal equipment for the use of all services provided across the corresponding interface is possible.
- <sup>4</sup> OFCOM shall regulate the necessary administrative and technical details.

# **Art. 8** Use of the frequency spectrum

The Ordinance of 9 March 2007<sup>7</sup> on Frequency Management and Radiocommunication Licences applies to providers which use the frequency spectrum to provide their services.

SR 784.101.2

<sup>&</sup>lt;sup>7</sup> SR **784.102.1** 

# **Art. 9** Apprenticeships

<sup>1</sup> Providers of telecommunications services must offer at least 3 per cent of posts as apprenticeships 18 months at the latest after registration of notification. Part-time posts shall be counted according to the full-time equivalent posts which they represent.

<sup>2</sup> Providers may also fulfil this requirement in cooperation with third parties within the telecommunication industry.

## **Art. 10** Price transparency

- <sup>1</sup> If, in the case of calls between customers of different providers of mobile telecommunications services, higher prices are charged than for calls to the same provider's customers, then the caller shall be informed of this when the call is established, free of charge, without advertising and in simple terms. This also applies to calls between different customer groups of the same provider. Providers must make it possible for their customers to forgo the indication free of charge.
- <sup>2</sup> In the case of offerings of telecommunications services with limited free or discounted elements, the provider must make it possible for customers to inform themselves of the consumed or remaining elements free of charge.
- <sup>3</sup> Paragraphs 1 and 2 do not apply to calls to value-added services, international calls and the use of foreign mobile radio networks (international roaming).<sup>8</sup>

# **Art. 10***a*<sup>9</sup> Tariffs for international roaming

- <sup>1</sup> On conclusion of the contract, mobile radio operators shall inform their customers in writing and in a manner which is easy to understand, how and where the latter may obtain the currently applicable tariffs as well as the tariff options for price reductions.
- <sup>2</sup> In the case of a change to a foreign mobile radio network, they shall inform their customers without delay, free of charge and in a manner which is easy to understand, of the maximum costs of the following international roaming services which may be incurred:
  - a. calls to Switzerland;
  - b. incoming calls;
  - c. local calls:
  - d. sending of SMS;
  - e. data transmission including sending of MMS.
- 8 Amended by No I of the Ordinance of 4 Nov. 2009, in force since 1 Jan. 2010 (AS 2009 5821).
- Inserted by No I of the Ordinance of 4 Nov. 2009, in force since 1 Jan. 2010 (AS 2009 5821). Paras. 2 and 3 come into force on 1 July 2010.

<sup>3</sup> They must enable their customers to deactivate and reactivate this notification simply and free of charge. They must inform their customers of this option on conclusion of the contract and at least once a year thereafter.

# Art. 11 Directory entries

The entry of a customer in directories of telecommunications services consists of at least:

- a. the addressing resources by means of which the customer of the telecommunications service concerned can be contacted;
- b. the last name and first names or the company name;

c.10 ...

- d. the address in full:
- e. where applicable, the sign indicating that they do not wish to receive advertising messages from third parties and that their data may not be forwarded for purposes of direct advertising (Art. 88 para. 1);
- f. in the case of an addressing resource of a charged-for value-added service: the price notification in accordance with Article 13 paragraph 1<sup>bis</sup> of the Ordinance of 11 December 1978<sup>11</sup> on Price Indication.

# **Chapter 3: Universal Service**

#### Section 1: Universal Service Licence

#### **Art. 12** Granting the universal service licence

- <sup>1</sup> Universal service licences shall in all cases be put out to tender as a competition based on criteria.
- <sup>2</sup> A bidder for a universal service licence must additionally submit in its concept the business plan for the entire term of the licence, indicating the assumed prices and the envisaged investments.
- <sup>3</sup> The universal service licence shall be granted to the bidder who meets the decision-making criteria and who does not request financial compensation. When several bidders meet the criteria and do not request financial compensation, the licence is granted to the bidder who best satisfies the criteria employed for qualitative evaluation.
- <sup>4</sup> When all the bidders who meet the criteria request financial compensation, the one whose bid offers the best ratio between the result of the qualitative evaluation and the required compensation shall receive the licence.

Repealed by No I of the Ordinance of 5 Nov. 2014, with effect from 1 Jan. 2015 (AS 2014 4161).

<sup>11</sup> SR **942.211** 

<sup>5</sup> The Federal Communications Commission (ComCom) shall call on one or more providers of telecommunications services to assure the universal service when:

- it is apparent from the outset that the invitation to tender cannot take place under competitive conditions;
- b. the invitation to tender has not produced any candidates;
- c. the invitation to tender did not take place under competitive conditions, in particular when only one bid was submitted;
- d. at the end of the tender no bidder meets the criteria.
- <sup>6</sup> The licensee designated on the basis of paragraph 5 may request financial compensation.
- <sup>7</sup> The new universal service licence shall be granted six months at the latest before the expiry of the licence in force.

## **Art. 13** Financial compensation

- <sup>1</sup> The financial compensation serves exclusively to finance the uncovered costs of the universal service.
- <sup>2</sup> The uncovered costs are equivalent to the net total costs of the universal service. The net total costs are equivalent to the difference between the costs to the company providing the universal service and the costs it would incur if it did not have to provide the universal service.

#### Art. 14 Calculation of net total costs

- <sup>1</sup> The net costs of the universal service are equivalent to the expenses incurred by an efficient provider in ensuring the universal service. The net costs, which are listed in itemised form for each service, are calculated using the following principles:
  - a. the calculation is performed on a current basis;
  - b. the network costs are assessed using book values;
  - the capital yield on investments is that typical of the sector, and must be weighted with the risk associated with the provision of the universal service;
  - d. the depreciation method takes the lifetime of the investments into account; this must correspond to their economic lifetime;
  - e. direct and indirect revenues must be subtracted from the costs.
- <sup>2</sup> The net total costs of the universal service are equivalent to the sum of the net costs assessed separately for the individual services following deduction of intangible advantages.
- <sup>3</sup> The data used for the calculation must be supported, i.e. it must be transparent and must derive from dependable sources. For this purpose, the professional recommendations on the presentation of accounts (FER), the internationally recognised accounting standards (IAS) or comparable internationally recognised accounting guidelines must be applied.

# **Section 2:** Obligations of the Universal Service Licensee

## **Art. 15** Services of the universal service

- <sup>1</sup> The services of the universal service are defined as follows:
  - a. public telephone service: service which enables customers to conduct national and international telephone conversations in real time as well as telefax connections;
  - b. additional service: barring of outgoing calls;
  - emergency call: access to the emergency call services (numbers 112, 117, 118, 143, 144, 147);
  - d. data transmission service:
  - e. public pay telephones: the provision of an adequate number of public pay telephones around the clock for conducting incoming and outgoing national telephone calls in real time, conducting outgoing international calls in real time and access to the emergency services and entries in the subscriber directories of all providers of services of the universal service in Switzerland, in the three official languages;
  - f. services for the hearing impaired: provision of a transcription service including emergency calls as well as an SMS relay service which are available round the clock:
  - g.12 directory and operator service for the visually impaired and people with limited mobility: access to directory data of the customers of all providers of services forming part of the universal service in Switzerland via a speech-based information service in the three official languages and provision of an operator service around the clock (number 1145); this operator service also enables a connection to customers who are not entered in a directory in accordance with Article 31 paragraph 2<sup>bis</sup> but who consent to be contacted as part of a service to establish communication provided the universal service licensee offers a service to establish communication.
- <sup>2</sup> The universal service licensee is obliged to provide these services for the full term of the licence.
- <sup>3</sup> OFCOM shall fix the specifications applicable to the services of the universal service. These specifications shall be based on harmonised international standards.

#### Art. 16 Connection

<sup>1</sup> The services cited in Article 15 paragraph 1 shall be provided by means of a connection up to the network termination point.

<sup>12</sup> Amended by No I of the Ordinance of 5 Nov. 2014, in force since 1 Jan. 2015 (AS 2014 4161).

<sup>2</sup> The universal service licensee is obliged to provide one of the following connections inside the residential and commercial premises of the customer, at the choice of the customer:

- a. a fixed network termination point which includes a voice channel, a telephone number and an entry in the public telephone service directory and which allows narrowband data transmission:
- a fixed network termination point which includes two voice channels, three telephone numbers and an entry in the public telephone service directory and which allows narrowband data transmission;
- c.13 a fixed network termination point which includes a voice channel, a telephone number, an entry in the public telephone service directory and broadband internet access with a guaranteed transmission speed of 2000/200 kbit/s; if the connection does not permit the provision of such broadband internet access for technical or economic reasons and no alternative offering is available on the market under comparable conditions, the performance of the connection may be reduced in exceptional cases.
- <sup>3</sup> OFCOM shall designate the specifications for the network termination point which must be complied with. These shall be based on internationally harmonised standards

# **Art. 17** Building entry point

- <sup>1</sup> The universal service licensee must provide the telecommunications installations required for providing services of the universal service up to the building entry point. It is not obliged to provide the house installation.
- <sup>2</sup> If it introduces a new technology which requires adaptation of the building installation, it shall bear the costs of this adaptation.
- <sup>3</sup> On the occasion of the initial provision of these telecommunications installations, the proprietor may determine the location of the building entry point.
- <sup>4</sup> In the case of existing telecommunications installations, the licensee is not permitted to demand that the building entry point be moved.
- <sup>5</sup> OFCOM may issue technical regulations governing the building entry point.

#### **Art. 18** Connections outside residential areas

- <sup>1</sup> When the installation or maintenance of a connection outside residential areas involves particularly high costs or when it is particularly expensive to provide services of the universal service, the person requesting the connection may be required to pay some of the costs or the scope of services may be reduced.
- <sup>2</sup> The Federal Department of the Environment, Transport, Energy and Communications (DETEC) shall regulate the details.
- Amended by No I of the Ordinance of 5 Nov. 2014, in force since 1 Jan. 2015 (AS 2014 4161).

# **Art. 19** Barring outgoing calls

- <sup>1</sup> The universal service licensee must offer the possibility of permanently barring all outgoing calls. It is permitted to charge an appropriate one-time fee solely to cover the costs of establishing the bar on calls. Such a fee shall not be charged if the bar is requested on the conclusion of a contract.
- <sup>2</sup> On restoring all outgoing connections, the universal service licensee may charge an appropriate one-time fee to cover the bare costs of deactivating the bar on calls.

## **Art. 20** Determining the location of public pay telephones

- <sup>1</sup> ComCom shall periodically determine the number of locations per commune at which at least one public pay telephone must be located. When determining the number of obligatory locations per commune, it shall pay particular attention to the political commune's population, its surface area and its specific details. It shall ensure that there is at least one public pay telephone in each political commune, unless the commune dispenses with it.<sup>14</sup>
- <sup>2</sup> ComCom shall, in response to the joint proposal of the universal service licensee and the communal authority, determine the precise locations to be assigned to the commune.
- <sup>3</sup> If the communal authority and the universal service licensee are unable to reach an agreement, ComCom shall take the final decision.

## **Art. 21** Ouality of the universal service

- <sup>1</sup> The universal service licensee shall assess on an annual average the services which it provides (Art. 15 para. 1 and Art. 16), according to the following criteria:
  - a. concerning connection:
    - 1. deadline for the connection of a line,
    - 2. availability of the connection,
    - 3. fault notification per connection and per year,
    - 4. repair time;
  - b. concerning the public telephone service:
    - 1. voice transmission quality,
    - 2. availability of the service,
    - 3. call set-up time,
    - unsuccessful call ratio for call set-up as a result of network congestion or network fault.
    - 5. billing accuracy;
  - c. concerning the data transmission service and fax connections:
    - 1. data transmission quality,

Amended by No I of the Ordinance of 4 Nov. 2009, in force since 1 Jan. 2010 (AS 2009 5821).

- 2. availability of the service,
- 3. billing accuracy;
- d. concerning other obligations:
  - 1. response times for switched services.
  - 2. number of operational public pay telephones.
- <sup>2</sup> OFCOM shall regulate the technical details and set the target performance values for the quality criteria. In this context it shall be guided by advances in quality and take technical developments into account.
- <sup>3</sup> The universal service licensee must guarantee OFCOM access to its installations so that the latter can check whether the target performance values for the quality criteria are being met.<sup>15</sup>
- <sup>4</sup> OFCOM may appoint an independent expert to determine whether the target performance values for the quality criteria are being met. The findings of such an investigation may be published.<sup>16</sup>

# Art. 22 Maximum prices

- <sup>1</sup> The following maximum prices apply (excluding value-added tax):<sup>17</sup>
  - a. connection (Art. 16):
    - a one-time charge of 40.00 francs for establishing the connection,
    - 23.45 francs per month for the connection cited in Article 16 paragraph 2 letter a,
    - 2. 40.00 francs per month for the connection cited in Article 16 paragraph 2 letter b,
    - 3.18 55.00 francs per month for the connection cited in Article 16 paragraph 2 letter c;
  - b. national calls to fixed-network connections charged according to the number of seconds and rounded up to the next 10 cents: 7.5 cents per minute;
  - surcharge for the use of a public pay telephone: 19 cents per minute started
    exclusive of calls to the 143 number and to the transcription service, for
    which only a one-time surcharge of 50 cents (including value added tax) is
    charged;
  - d. use of the transcription service (Art. 15 para. 1 let. f), charged according to the number of seconds and rounded up to the next 10 cents: 3.4 cents per minute.

Amended by No I of the Ordinance of 5 Nov. 2014, in force since 1 Jan. 2015 (AS 2014 4161).

Amended by No I of the Ordinance of 5 Nov. 2014, in force since 1 Jan. 2015 (AS 2014 4161).

Amended by No I of the Ordinance of 9 Dec. 2011, in force since 1 March 2012 (AS 2012 367).

Amended by No I of the Ordinance of 9 Dec. 2011, in force since 1 March 2012 (AS **2012** 367).

- <sup>2</sup> If the introduction of a surcharge calculated according to call duration for the use of a public pay telephone is not technically feasible at a reasonable cost, a one-time surcharge of 50 cents (including value-added tax) may be charged per call.
- <sup>3</sup> The universal service licensee shall report to OFCOM all changes to its tariffs no less than 30 days before they are due to take effect.

# Art. 23 Unpaid bills and security deposits

- <sup>1</sup> If subscribers do not pay the bills for services of the universal service provided in the context of the universal service licence on time, the universal service licensee is obliged to send them a reminder containing a reference to the measures that can be expected.
- <sup>2</sup> If the bill is disputed with grounds or if it does not apply to services of the universal service provided in the context of the universal service licence, the universal service licensee is not entitled to place bars on connections or to terminate the contract prior to settlement of the dispute.
- <sup>3</sup> If the ability of subscribers to pay is in doubt, the universal service licensee may demand security deposits subject to the interest rate applicable to savings accounts. The amount of these security deposits may not exceed the sum required to cover the licence holder's expected risk.

# **Section 3:** Financing the Universal Service

# **Art. 24** Setting the financial compensation

- <sup>1</sup> The net total costs are calculated on the basis of the principles contained in Article 14.
- <sup>2</sup> The provisional costs must be submitted to OFCOM by 31 July of the year prior to that for which the budget has been prepared. If the universal service licence is put out to tender, the anticipated costs for the first two years of the licence shall be set out directly in the universal service licensee's application.<sup>19</sup>
- <sup>3</sup> The actual costs must be submitted to OFCOM two months following the end of the year at the latest. The universal service licensee must provide OFCOM with all data necessary for monitoring the actual costs.<sup>20</sup>
- <sup>4</sup> ComCom shall establish the amount of the financial compensation on the basis of the actual costs. The differences between the provisional and actual costs must be conclusively substantiated in order to be the object of a claim for compensation.

Amended by No I of the Ordinance of 5 Nov. 2014, in force since 1 Jan. 2015 (AS 2014 4161).

<sup>20</sup> Amended by No I of the Ordinance of 4 Nov. 2009, in force since 1 Jan. 2010 (AS 2009 5821).

<sup>5</sup> The licensee shall advance the annual financial compensation. Interest is charged on the advance at a rate which applies for federal obligations with the same or a comparable term at the time of the compensation.

- <sup>6</sup> OFCOM may demand an audit of the bookkeeping data and cost calculations.<sup>21</sup>
- <sup>7</sup> If the licensee does not submit the required information within twelve months of the year-end, any claim to a contribution lapses.

# **Art. 25** Fees for the financing of the universal service

- <sup>1</sup> The decisive factor in calculating a provider's fee is its turnover in the services provided in the country minus the costs of the telecommunications services which it has purchased wholesale from third-party providers or billed for third parties.
- <sup>2</sup> Telecommunications service providers shall provide OFCOM with information on the previous year's turnover by 30 April at the latest, and for the first time in 2009.
- <sup>3</sup> If a provider does not submit the data necessary for calculation of the fees, OFCOM shall make its calculation on the basis of the total turnover subject to value-added tax
- <sup>4</sup> OFCOM may provide for an external monitoring system to test the accuracy of the data provided by providers of telecommunications services.
- <sup>5</sup> If a defaulting provider does not pay the amounts it owes within one year of the period set in the reminder, these are carried forward to the net total costs of the period following this appraisal. The transfer does not cancel the payment obligation. Amounts which are subsequently paid are transferred to the facility which the financing mechanism manages and deducted from the net total costs for the period following receipt of the payment.
- <sup>6</sup> Providers with a definitive annual turnover (para. 1) of less than five million francs are exempt from the fee.
- <sup>7</sup> OFCOM shall issue administrative regulations for determining turnover and the information necessary for apportioning costs.

# **Art. 26** Administration of the financing mechanism

- <sup>1</sup> OFCOM shall administer the financing mechanism. It may issue technical and administrative regulations for this purpose.
- <sup>2</sup> It shall periodically publish a report on the financing of the universal service.
- <sup>3</sup> The costs for administration of the financing mechanism are covered by the fees for financing the universal service.

<sup>21</sup> Amended by No I of the Ordinance of 4 Nov. 2009, in force since 1 Jan. 2010 (AS 2009 5821).

# Chapter 4:<sup>22</sup> Obligations deriving from the Provision of Specific Services

# **Art. 26***a*<sup>23</sup> Transmission of telephone numbers

- <sup>1</sup> Providers of the public telephone service which generate calls must ensure that at least one telephone number in conformity with the Swiss E.164 numbering plan is transmitted as the telephone number of the calling line.
- <sup>2</sup> They must transmit the telephone number which is allocated to the customer for the service within the framework of which the call is established. The other providers of telecommunications services involved in the call shall not modify transmitted telephone numbers.
- <sup>3</sup> Providers of the public telephone service may enable their customers to transmit other telephone numbers when the call is established, provided they can demonstrate a right of use. If providers are aware that customers are transmitting telephone numbers for which they do not have a right of use, they must take appropriate measures to prevent the transmission of these telephone numbers.
- <sup>3bis</sup> If customers provide prima facie evidence that unauthorised third parties are using their telephone numbers, the providers of a public telephone service may with the consent of the customers concerned block calls that transmit the relevant telephone numbers. The foregoing does not apply to calls that are actually made from the connections of the customers concerned.<sup>24</sup>
- <sup>4</sup> Transmitted telephone numbers must be provided with an identifier which indicates whether they are based on information from the calling customer or on information from the provider generating the call, and whether the latter has verified the customer's number information.
- <sup>5</sup> No telephone numbers from the ranges 0900, 0901 or 0906 shall be transmitted as telephone numbers of calling lines.

#### **Art. 27** Access to the emergency call services

- <sup>1</sup> Access to the emergency call services (numbers 112, 117, 118, 143, 144 and 147) must be guaranteed from every telephone connection, including public pay telephones. Access to numbers 112, 117, 118, 144 and 147 must be possible without charge and without the use of a means of payment (coins or cards). A flat-rate charge of 20 cents as well as the supplement in accordance with Article 22 paragraph 1 letter c may be imposed for the number 143.
- <sup>2</sup> Providers of services of the universal service by satellite mobile radio, to which the International Telecommunication Union has allocated addressing resources, need only guarantee free-of-charge access to number 112.

22 Previously before Art. 27

23 Inserted by No I of the Ordinance of 4 Nov. 2009, in force since 1 Jan. 2010 (AS 2009 5821).

<sup>24</sup> Inserted by No I of the Ordinance of 5 Nov. 2014, in force since 1 Jan. 2015 (AS 2014 4161).

# **Art. 28** Routing of emergency calls

Providers of the public telephone service must assure the routing of emergency calls to the competent call centres (numbers 112, 117, 118, 143, 144 and 147).

# **Art. 29** Location of emergency calls

- <sup>1</sup> As far as the chosen technology permits, on-line caller location must be possible for the numbers 112, 117, 118 and 144. This must also be guaranteed for customers who have chosen not to be entered in a public directory. On request, OFCOM may designate other numbers exclusively for emergency services of the police, fire brigade, ambulance and rescue services for which caller location must be possible. OFCOM shall publish a list of these numbers.
- <sup>2</sup> The universal service licensee, in co-operation with the other public telephone service providers and for the benefit of the public safety answering points, shall operate a caller location service for all subscribers to services of the universal service. This service must also be accessible for public safety answering points which are not connected to the universal service licensee. In the case of multiple universal service licensees, ComCom may oblige one of them to operate the caller location service.
- <sup>3</sup> The co-operation between the universal service licensee and the other public telephone service providers is based on the cost-oriented principles indicated in Article 54. The providers of the public telephone service shall bear the investment and operating costs for providing caller location of emergency calls. They shall not pass on these costs to the public safety answering points.

# **Art. 30** Special provisions concerning emergency calls

 $^{1}$  If, in the case of voice transmission over internet protocol, correct routing of emergency calls is not technically possible for every location, it must be guaranteed only for calls from the main location cited in the subscription contract. The same applies to location of emergency calls when the telephone number of the customer is transmitted in accordance with Article  $26a.^{25}$ 

<sup>1 bis</sup> Providers must ensure that customers are informed of this limitation and have explicitly confirmed that they are aware of it. They shall inform the latter that whenever possible a more suitable means of communication should be used for emergency calls.<sup>26</sup>

- <sup>2</sup> If the chosen technology so permits, in extraordinary situations emergency calls must not be interrupted by priority treatment of civil telecommunications traffic by customers who have important duties to perform in such situations.
- <sup>3</sup> OFCOM may issue technical and administrative regulations concerning the routing and the location of emergency calls.

<sup>25</sup> Amended by No I of the Ordinance of 4 Nov. 2009, in force since 1 Jan. 2010 (AS 2009 5821).

<sup>26</sup> Inserted by No I of the Ordinance of 4 Nov. 2009, in force since 1 Jan. 2010 (AS 2009 5821).

## **Art. 31** Collection and provision of universal service directory data

<sup>1</sup> Providers of services of the universal service are responsible for the collection of directory information from their customers and are alone entitled to amend the data at the request of subscribers. They are not obliged to review the accuracy of the data but must guarantee that it corresponds to the information provided by customers. They may refuse to include an entry in the directory if it is clearly inaccurate or if it serves an unlawful purpose, and they may remove such entries from the directory.

<sup>2</sup> They are obliged to provide those entitled in accordance with Article 21 paragraphs 2 and 3 TCA both online access to directory data pertaining to their customers and the transmission of data en bloc, with the option of at least daily updates. In so doing, they may bill costs incurred exclusively by the provision of data in compliance with Article 54.

<sup>2bis</sup> Subject to the same conditions as in paragraph 2, they shall enable the providers of a service for establishing communications to customers who are not entered in the directory but who have given their consent to be contacted within the framework of such a service to access the following data:

- a. the last name and first name or the company name of the customer;
- b. the address in full;
- c. the addressing resource by means of which the customer can be contacted.<sup>27</sup>

<sup>2ter</sup> They shall inform their customers clearly and explicitly that if they have given their consent to be contacted within the framework of a communication establishment service, the data mentioned in paragraph 2<sup>bis</sup> must be forwarded to any provider of such a service that requests it.<sup>28</sup>

- <sup>3</sup> They may call in third parties within the framework of a contractual relationship to fulfil their obligations under paragraphs 1, 2 and 2<sup>bis</sup>.<sup>29</sup>
- <sup>4</sup> The integrity of directory data received in accordance with paragraph 2 and 2<sup>bis</sup> must be preserved; it may under no circumstances undergo changes in content.<sup>30</sup>
- <sup>4bis</sup> Providers which have access to the data mentioned in paragraph 2<sup>bis</sup> may process this data only in order to provide a service for establishing communications. In particular, they shall not publish it, use it for advertising purposes or disclose it to third parties.<sup>31</sup>
- <sup>5</sup> OFCOM shall issue the technical and administrative regulations.
- 27 Inserted by No I of the Ordinance of 4 Nov. 2009, in force since 1 Jan. 2010 (AS 2009 5821).
- Inserted by No I of the Ordinance of 4 Nov. 2009, in force since 1 Jan. 2010 (AS 2009 5821).
- 29 Amended by No I of the Ordinance of 4 Nov. 2009, in force since 1 Jan. 2010 (AS 2009 5821).
- 30 Amended by No I of the Ordinance of 4 Nov. 2009, in force since 1 Jan. 2010 (AS 2009 5821).
- 31 Inserted by No I of the Ordinance of 4 Nov. 2009, in force since 1 Jan. 2010 (AS **2009** 5821).

# **Art. 32** Interoperability

<sup>1</sup> Any person offering a service of the universal service as referred to in Article 15 must guarantee the communication capability of this service (Art. 21*a* para. 1 TCA). The provider must guarantee interconnection directly or indirectly. It shall comply with the provisions concerning:

- a. transparency of the basic offering (Art. 53 paras. 1, 2 and 4);
- b. publication of the technical and commercial conditions in respect of the providers requesting interconnection (Art. 61);
- c. interfaces (Art. 55 paras. 1-3).
- <sup>2</sup> The procedure in the event of disputes concerning interoperability is based, *mutatis mutandis*, on Articles 70–74.
- <sup>3</sup> ComCom shall decide on the conditions of interconnection according to the principles which are customary in the market and in the sector.

# Art. 33 Services for the hearing or visually impaired or persons with limited mobility

- <sup>1</sup> The services for the hearing or visually impaired and persons with limited mobility must be free of charge, regardless of whether they are provided by providers of services of the universal service themselves or via access to third-party services.
- <sup>2</sup> The connection charges charged to the hearing or visually impaired and persons with limited mobility within the framework of these services shall not be discriminatory in comparison with the standard tariffs.

#### Art. 34 Leased lines

- <sup>1</sup> When, within a given area, leased lines conforming to international standards are not available or are available only in part of the area despite sufficient demand, ComCom may require telecommunications service providers to provide such leased lines in their area. In so doing, it shall take account of the infrastructure already existing in the area in question and impose the obligation on the most suitable provider.<sup>32</sup>
- <sup>2</sup> When a given area is not covered by a provider, ComCom shall impose the obligation on the most suitable provider with the nearest infrastructure.
- <sup>3</sup> Providers obliged to provide leased lines shall keep separate accounts for them. The cost-accounting model shall be based upon the principles for access services.
- <sup>4</sup> The tariffs and supply conditions must be notified to OFCOM, which may publish them
- <sup>5</sup> OFCOM shall issue the technical regulations concerning the interfaces and the quality of the services.

<sup>32</sup> Amended by No I of the Ordinance of 4 Nov. 2009, in force since 1 Jan. 2010 (AS 2009 5821).

# Chapter 5: Value-Added Services

# **Art. 35**<sup>33</sup> Exceptions

- <sup>1</sup> Only Articles 39*a* and 39*b* paragraph 2 apply to value-added services which are offered via E.164 numbering plan addressing resources of the type 0800 (free numbers), 08000 (international free numbers), 084x (shared-cost numbers) and 0878 (personal numbers).
- <sup>2</sup> Only Articles 36 paragraphs 4 and 5, 38 paragraph 4, 40 paragraphs 3–5 and 41 paragraphs 1 letter c and 2 in this Chapter apply to value-added services which are offered neither via E.164 numbering plan addressing resources or via SMS or MMS.

# **Art. 36** Identifiability of value-added services

- <sup>1</sup> Value-added services must be clearly identifiable to users.
- <sup>2</sup> For the provision of value-added services via addressing resources of the E.164 numbering plan, only individually allocated numbers in accordance with Articles 24*b*–24*i* of the Ordinance of 6 October 1997<sup>34</sup> on Addressing Resources in the Telecommunications Sector (TSRO) and short numbers in accordance with Articles 29–32 and 54 TSRO shall be used <sup>35</sup>
- <sup>3</sup> For the provision of value-added services via SMS or MMS, only short numbers in accordance with Articles 15*a*–15*f* of the Ordinance on Addressing Resources in the Telecommunications Sector shall be used
- <sup>3bis</sup> Holders of numbers under paragraphs 2 and 3 are also deemed to be providers of value-added services even if they do not offer the services themselves.<sup>36</sup>
- <sup>4</sup>Value-added services which are offered neither via addressing resources of the E.164 numbering plan nor via SMS or MMS must be clearly and explicitly declared as value-added services.
- <sup>5</sup>Value-added services with erotic or pornographic content must belong to a separate category clearly identifiable for customers.

# **Art. 37**<sup>37</sup> Obligation regarding headquarters or branch office

Providers of value-added services must operate their services from a headquarters or a branch office in a state contracting to the Lugano Convention of 30 October 2007<sup>38</sup>.

- 33 Amended by No I of the Ordinance of 5 Nov. 2014, in force since 1 Jan. 2015 (AS 2014 4161).
- 34 SR **784.104**
- 35 Amended by No I of the Ordinance of 5 Nov. 2014, in force since 1 Jan. 2015 (AS 2014 4161).
- 36 Inserted by No I of the Ordinance of 5 Nov. 2014, in force since 1 Jan. 2015 (AS **2014** 4161).
- 37 Amended by No I of the Ordinance of 5 Nov. 2014, in force since 1 Jan. 2015 (AS 2014 4161).
- 38 SR **0.275.12**

# **Art. 38** Charging for value-added services

<sup>1</sup> Provided the possibility of disputing their bill exists, customers may require their provider to communicate to them the following data in individual cases, free of charge, or with each bill, under a separate heading, if this data is used for billing purposes:

- a. if available, the addressing resource via which the value-added service is provided;
- b. the date and time of the provision of the value-added service;
- c. where applicable the duration of the call;
- d. the fee charged for the value-added service.
- <sup>2</sup> In the case of connections with prepayment for services, the provider of telecommunications services, on request, communicates the data mentioned in paragraph 1. Verbal communication must be free of charge. A written communication may be made subject to only a small charge.
- <sup>3</sup> A clear indication must be given on the bill as to how the identity and address of the value-added service provider may be determined.
- <sup>4</sup> If a customer disputes a bill for value-added services, the provider of telecommunications services may not bar the connection or cancel the contract before the dispute is resolved. This applies even if the value-added service is not provided via a telecommunications service but is merely offered via the latter. However, the provider of telecommunications services may bar access to value-added services.

# **Art. 39** Price ceilings for value-added services in general<sup>39</sup>

- <sup>1</sup> In the case of value-added services, basic or fixed charges shall not exceed 100 francs.
- <sup>2</sup> In the case of value-added services, the price per minute shall never exceed 10 francs
- <sup>3</sup> In the case of value-added services which are based on registration by the customer and which may activate a multiplicity of individual items of information, neither the charge per individual item of information nor the sum of the charges for the individual items of information transferred by the provider within one minute shall exceed 5 francs.
- <sup>4</sup> In the case of value-added services, the sum of all charges (basic charge, fixed charges and time-dependent charges) per call or per registration shall not exceed 400 francs.

<sup>39</sup> Amended by No I of the Ordinance of 5 Nov. 2014, in force since 1 Jan. 2015 (AS 2014 4161).

# **Art. 40** Barring of access to value-added services

- <sup>1</sup> Telecommunications service providers shall enable their customers to bar outgoing calls to all type 090x numbers or only to the type 0906 numbers numbers reserved for erotic or pornographic content.<sup>40</sup>
- <sup>2</sup> Telecommunications service providers who offer access to short numbers for SMS and MMS services (Art. 15*a*-15*f* TSRO<sup>41</sup>) shall enable their customers to bar access to all SMS and MMS services or only to services with erotic or pornographic content. Reception of the corresponding SMS and MMS services must also be barred.<sup>42</sup>
- <sup>3</sup> Telecommunications service providers shall enable their customers to bar outgoing calls to all value-added services in accordance with Article 35 paragraph 2 or only to those with erotic or pornographic content.
- <sup>4</sup> Customers must be able to activate and deactivate these call bars at any time, simply and free of charge. This does not apply to customers in accordance with Article 38 paragraph 4 third sentence and in accordance with Article 41.
- <sup>5</sup> Telecommunications service providers in accordance with paragraphs 1, 2 and 3 shall inform their customers of these call barring options on conclusion of the contract and thereafter at least once a year.

#### **Art. 41**<sup>43</sup> Protection of minors

Telecommunications service providers shall bar access to the following services to customers or users under 16 years of age provided their age is known to the provider:

- a. value-added services with erotic or pornographic content (0906 numbers);
- SMS and MMS services with erotic or pornographic content provided via short numbers:
- value-added services with erotic or pornographic content which are offered neither via E.164 numbering plan addressing resources nor via SMS or MMS.
- <sup>2</sup> To determine whether it is appropriate to bar access, providers of mobile telecommunications services shall:
  - a. record the age of the principal user, if he or she is less than 16 years of age, at the time of conclusion of the contract and the sale of new telecommunications terminal equipment;
  - demand, in case of doubt, the production of a valid passport or identity card or another travel document recognised for entry into Switzerland.
- 40 Amended by No I of the Ordinance of 5 Nov. 2014, in force since 1 Jan. 2015 (AS 2014 4161).
- 41 SR **784.104**
- 42 Amended by No I of the Ordinance of 5 Nov. 2014, in force since 1 Jan. 2015 (AS 2014 4161).
- 43 Amended by No I of the Ordinance of 9 Dec. 2011, in force since 1 March 2012 (AS **2012** 367).

# **Chapter 6: Dispute Resolution Service**

#### Art. 42 Establishment

<sup>1</sup> OFCOM shall establish a dispute resolution service or commission a third party (the Agent) to establish one within 15 months of the entry into force of these provisions.

- <sup>2</sup> It may transfer the task of dispute resolution if the Agent:
  - a. guarantees to comply with the applicable legislation;
  - b. proves that it is able to finance the dispute resolution activity in the long term:
  - undertakes to perform its duty independently, objectively, transparently and efficiently, and in particular ensures that the persons entrusted with the resolution of disputes are in possession of the necessary professional qualifications;
  - d. guarantees the transparency of its activity to OFCOM and the public and in particular undertakes to publish an annual report on its activities.
- <sup>3</sup> OFCOM appoints the Agent for a limited term. It may carry out a public invitation to tender which is not subject to Articles 32 ff. of the Ordinance of 11 December 1995<sup>44</sup> on Public Procurement.
- <sup>4</sup> The transfer takes place in the form of a contract under administrative law.
- <sup>5</sup> OFCOM approves the appointment of the natural person responsible for the dispute resolution service.

#### Art. 43 Mission

- <sup>1</sup> The dispute resolution service is responsible for disputes in civil law between customers and their providers of telecommunications or value-added services.
- <sup>2</sup> It shall fulfil its dispute resolution mission independently, objectively, transparently and efficiently. It is not subject to any general or particular instruction regarding the resolution of disputes.

#### Art. 44 Procedural Rules

- <sup>1</sup> The dispute resolution service shall issue procedural rules.
- <sup>2</sup> The Agent shall submit its procedural rules and its rules on charges and amendments thereto to OFCOM for approval.

## **Art. 45** Principles of the procedure

<sup>1</sup> The dispute resolution service must be fair, expeditious and affordable for customers.

44 SR 172.056.11

- <sup>2</sup> An application for resolution of a dispute is permitted only if:
  - a. the party making the application has previously tried to reach an agreement with the other party to the dispute;
  - b. it is submitted under the conditions laid down in the procedural rules of the dispute resolution service;
  - c. it is not obviously abusive;
  - d. no court or arbitration court is dealing with the matter.
- <sup>3</sup> The dispute resolution procedure is carried out in one of the Confederation's official languages, at the choice of the customer.
- <sup>4</sup> The dispute resolution service may take all necessary measures to resolve the dispute which it is called upon to deal with. It shall make an appropriate proposal to resolve the dispute when the parties cannot agree on a solution by negotiation. It shall produce a report on the conduct of the resolution procedure which is issued to the parties on request.
- <sup>5</sup> The dispute resolution procedure ends with the withdrawal of the application, agreement between the parties, the resolution proposal or the rejection of the application as clearly abusive.

#### **Art. 46** Relationship to other procedures

- <sup>1</sup> The submission of an application for resolution of a dispute does not prevent a civil action.
- <sup>2</sup> The dispute resolution service shall terminate the procedure as soon as a court or arbitration court begins dealing with the matter.

#### **Art. 47** Providers' obligations

- <sup>1</sup> Any provider of telecommunications or value-added services which is involved in a dispute resolution application must take part in the dispute resolution procedure. It shall comply with the dispute resolution service's requests for information.
- <sup>2</sup> Providers of telecommunications or value-added services shall on request provide the dispute resolution service with the telecommunications traffic data necessary for resolution of the dispute and the other personal data of their customers provided they hold such data.
- <sup>3</sup> Providers of telecommunications services shall inform their customers of the existence of the dispute resolution service on every bill. For customers with a connection with prepayment for services, they shall do this each time the user account is charged. Each time information is provided it shall be pointed out that the dispute resolution service is also competent for disputes in the area of value-added services. <sup>45</sup>

<sup>45</sup> Amended by No I of the Ordinance of 4 Nov. 2009, in force since 1 Jan. 2010 (AS 2009 5821).

## **Art. 48** Data protection

<sup>1</sup> The dispute resolution service may process the personal data of parties to the dispute if this is necessary to fulfil its mission and to receive the payment for which the parties are responsible. It may conserve this data for a maximum of five years after the conclusion of a dispute resolution procedure.<sup>46</sup>

- <sup>2</sup> Persons performing a task for the dispute resolution service are bound by official secrecy in accordance with Article 320 of the Criminal Code<sup>47,48</sup>
- <sup>3</sup> The dispute resolution service may request OFCOM to provide it with personal information which is necessary for the resolution of disputes. In particular, it may request information concerning administrative or criminal prosecutions and penalties against a provider of telecommunications or value-added services.
- <sup>4</sup> The dispute resolution service may publish its resolution proposals in full or in part on the internet, without making reference to the identity of the parties. It shall publish a summary of its most important proposals.
- <sup>5</sup> It must communicate free of charge the personal data which it has available at the time of cessation of its dispute resolution activity to a new appointee or to OFCOM <sup>49</sup>

#### Art. 49 Finance

- <sup>1</sup> DETEC or the Agent shall set the fees for the procedure and the other sources of revenue to finance the dispute resolution service.
- <sup>2</sup> The procedural fee demanded of customers must be low, except in the case of dispute resolution procedures which are clearly initiated in an abuse of the process.
- <sup>3</sup> Providers of telecommunications or value-added services shall pay a fee for each procedure in which they are involved or should be involved. The dispute resolution service may waive a fee in the case of dispute resolution procedures which a customer has clearly initiated in an abuse of the process.
- <sup>4</sup> The dispute resolution service may impose the procedural fees on the parties by way of an administrative decision.

### **Art. 50** Surveillance in the case of transfer

<sup>1</sup> OFCOM shall exercise surveillance to ensure that the Agent complies with the applicable law in this area, in particular this chapter and the contract concluded under administrative law.

<sup>46</sup> Amended by No I of the Ordinance of 4 Nov. 2009, in force since 1 Jan. 2010 (AS 2009 5821).

<sup>47</sup> SR **311.0** 

<sup>48</sup> Amended by No I of the Ordinance of 5 Nov. 2014, in force since 1 Jan. 2015 (AS **2014** 4161).

<sup>49</sup> Inserted by No I of the Ordinance of 4 Nov. 2009, in force since 1 Jan. 2010 (AS 2009 5821).

- <sup>2</sup> If there are indications that the Agent is not fulfilling its obligations, OFCOM shall conduct an examination. The Agent must provide all useful information. If it is established on the basis of the examination that the Agent is not fulfilling or is no longer fulfilling its obligations, it shall bear the costs of the examination.
- <sup>3</sup> If OFCOM establishes that the Agent is not fulfilling its obligations, it may:
  - instruct it to remedy the deficiency or take measures to prevent recurrence of the violation; the Agent shall inform OFCOM of the measures taken;
  - b. require it to pay to the Confederation the revenue it has acquired by violating the law:
  - c. add conditions to the contract under administrative law;
  - d. restrict, suspend or cancel the contract under administrative law without compensation.
- <sup>4</sup> OFCOM shall cancel the contract without compensation if the Agent has ceased its activity or is bankrupt.
- <sup>5</sup> It may cancel the contract without compensation if the actual or legal conditions have changed and the cancellation is necessary to safeguard predominant public interests.

# Chapter 7:

# Access to the Facilities and Services of Dominant Providers

# **Section 1:** General Provisions

#### Art. 51 Entitlement

All notified providers of telecommunications services (Art. 4 TCA) are entitled to access to the facilities and services of the provider with a dominant position in the market (the "dominant provider").

#### Art. 52 Non-discrimination

- <sup>1</sup> The dominant provider shall allow other providers access to the facilities, services and the corresponding information without discrimination.
- <sup>2</sup> Other providers must not be treated less favourably than business units, subsidiaries or other partners of the dominant provider.<sup>50</sup>
- <sup>2bis</sup> The difference between the access prices offered by the dominant provider and its end customer prices must allow a comparable, efficient provider to achieve cost-covering revenues.<sup>51</sup>
- 50 Amended by No I of the Ordinance of 14 March 2014, in force since 1 July 2014 (AS 2014 729).
- inserted by No I of the Ordinance of 14 March 2014, in force since 1 July 2014 (AS 2014 729).

<sup>3</sup> The dominant provider may provide only the technical reserves which are necessary for current operation, maintenance and replacement of its own installations. On request it must allow other providers to inspect its installations and where applicable justify in writing why the capacities are not sufficient.

<sup>4</sup> It shall process the orders of other providers immediately in the order in which they are received. It may reject an order if there are well-founded doubts that it corresponds to the actual requirements of the other provider.<sup>52</sup>

# **Art. 53** Transparency

- <sup>1</sup> The dominant provider shall publish an updated reference offer for access to its facilities and services at least once a year. It shall present the derivation of the individual prices in a summarised and comprehensible form.
- <sup>2</sup> It must give notice of changes in the reference offer at least three months in advance
- <sup>3</sup> It provides other providers with up-to-date online information as required for the individual forms of access and their co-location and enables online standardised ordering, processing, operation and cancellation of the individual access and co-location services.
- <sup>4</sup> If the dominant provider makes technical or operational changes to the access and co-location services after the order which are disadvantageous to the other provider, it shall give adequate notice of these. If the changes involve substantial costs to the provider concerned, the period of notice shall be at least 24 months. Changes may be made at any time with the agreement of the other provider.
- <sup>5</sup> The dominant provider regularly publishes statistics on the access and co-location services it provides, with the relevant details of demand, actual availability and delivery periods. If services are comparable, it compares the details relating to its own customers with those for other providers.

## **Art. 54**<sup>53</sup> Cost-oriented pricing: Principle

- <sup>1</sup> The access prices may as a maximum be the costs causally linked to granting access to services and facilities in accordance with Article 11 paragraph 1 TCA (the relevant costs).
- <sup>2</sup> Unless otherwise provided, the calculation is based on the costs incurred by an efficient provider on a forward-looking basis and are governed by the following rules:
  - a. The costs correspond to the replacement costs of modern equivalent assets (MEAs).

<sup>52</sup> Amended by No I of the Ordinance of 14 March 2014, in force since 1 July 2014 (AS 2014 729).

Amended by No I of the Ordinance of 14 March 2014, in force since 1 July 2014 (AS **2014** 729).

- b. Account shall be taken of the long-term additional costs of the network components used and the long-term additional costs incurred solely due to access services (the long run incremental costs, LRIC).
- A constant premium shall be added, based on a proportional share of the relevant joint and common costs (the constant mark up).
- d. A return, at the rate customary in the sector, on the capital used for investments is also added.
- <sup>3</sup> Access services must be charged and billed separately from other services.

# Art. 54*a*<sup>54</sup> Cost-oriented pricing: Valuation of cable ducts

- <sup>1</sup> The costs of the cable ducts are determined based on the costs incurred by the dominant provider for maintaining and modifying the cable ducts.
- <sup>2</sup> The depreciation costs correspond to the average of the investments in the cable duct infrastructure that have been made and that are planned over an appropriate number of years (the infrastructure maintenance and modification ratio). They are expressed for one calendar year.
- <sup>3</sup> The dominant provider's capital cost rate is used to calculate the imputed interest on the capital invested.
- <sup>4</sup> The invested capital is the average capital employed; this is calculated according to the following rules:
  - a. In the first calculation year, the average capital employed is calculated by, reducing half of replacement investment made by an efficient provider in the cable duct infrastructure by the infrastructure maintenance and modification ratio and increasing it by the investments made by the dominant provider in the previous year.
  - b. In the following years, the average capital employed is calculated by indexing the previous year value using a averaged production cost index for the categories of utility line and surfacing construction work in a ratio of 7:3; this value is then reduced by the the infrastructure maintenance and modification ratio and increased by the investments made by the dominant provider in the previous year.

# Art. $54b^{55}$ Cost-oriented pricing: lower price limit

- <sup>1</sup> A lower price limit applies to the access prices calculated in accordance with Articles 54 and 54a.
- <sup>2</sup> The lower price limit corresponds to the costs to an efficient provider comprising the short-term additional costs of network components used, the short-term addition-

Inserted by No I of the Ordinance of 14 March 2014, in force since 1 July 2014 (AS 2014 729).

<sup>55</sup> Inserted by No I of the Ordinance of 14 March 2014, in force since 1 July 2014 (AS **2014** 729).

al costs incurred solely due to access services, and the joint and common costs (short run incremental costs plus, SRIC+).

# **Art. 54***c*<sup>56</sup> Cost-oriented pricing: price-related discrimination

If the application of Articles 54–54*b* fails to generate cost-covering revenues in accordance with Article 52 paragraph 2<sup>bis</sup>, the relevant access prices shall be calculated by reducing the turnovers that the dominant provider achieves with the end customer services provided on the basis of the form of access concerned by the downstream costs of providing these services and thereafter breaking the results down into a single unit (retail minus).

#### **Art. 55**<sup>57</sup> Interfaces

- <sup>1</sup> OFCOM shall publish a list of recommended interfaces for access and their technical specifications. Internationally harmonised interfaces shall be given priority.
- <sup>2</sup> Other providers may demand from the dominant provider the interfaces recommended by OFCOM or the interfaces that the dominant provider uses for its own services. In addition, they may demand interfaces from the dominant provider if these:
  - a. correspond to international harmonisation;
  - b. can be implemented technically; and
  - represent a substantial economic advantage for the planned introduction of services.

# **Art. 56** Principles of co-location

- <sup>1</sup> At all sites necessary for access, the dominant provider shall offer other providers the possibility of using the site and putting in and operating installations there, in a non-discriminatory manner.
- <sup>2</sup> When it does so, it allows other providers in particular:
  - a. to access the site under the same conditions, particularly with regard to access routes, access times and accompaniment, as the dominant provider;
  - b. to connect different forms of access in the co-location premises;
  - to connect their installations at the site with their networks via the same types of feed as is possible for the dominant provider, and in all cases via radio relay;
  - d. to connect their installations at the site to those of third-party providers.
  - e. to acquire access services for third-party providers.

Inserted by No I of the Ordinance of 14 March 2014, in force since 1 July 2014 (AS 2014 729).

Amended by No I of the Ordinance of 14 March 2014, in force since 1 July 2014 (AS 2014 729).

- <sup>3</sup> The offering shall consist at least of use of the site without constructional separation.
- <sup>4</sup> Other providers shall immediately release co-location areas which have not been used for at least three months in the event of a shortage of space.

# **Art. 57** Co-location in the case of a shortage of space

- <sup>1</sup> If the co-location area is not sufficient given optimal use of the available space, the dominant provider shall provide additional space at its location.
- <sup>2</sup> If, as a result, co-location cannot be assured for the other provider under conditions which are reasonable for the dominant provider, the latter shall operate the other provider's installations under the same technical and operational conditions as it operates its own installations, or it shall tolerate the other provider putting in and operating installations in premises adjacent to the site or nearby, and enable it to connect these installations to the dominant provider's network.
- <sup>3</sup> The other provider shall decide which of the variants in accordance with paragraph 2 is chosen, within the framework of technical feasibility.

# **Section 2: Special Provisions**

## **Art. 58** Fully unbundled access to the local loop

- <sup>1</sup> In its reference offer, the dominant provider shall publish the technical and commercial conditions for fully unbundled access to the local loop, in particular:
  - a. the modalities for the use of the unbundled local loop or a partial section, in each case without upstream connection of transmission or switching technology;
  - b. the specifications of the relevant interfaces;
  - c. the specifications of the physical access to distributors;
  - d. the specifications of network compatibility.
- <sup>2</sup> It shall constantly examine internationally standardised technologies that correspond to the state of the art for network compatibility. It shall provide the available spectrum in the copper-based access network in a technology-neutral and non-discriminatory manner, taking account of interference-free operation.
- <sup>3</sup> The price of the fully unbundled access to the local loop is determined independently of the price ceiling for the connection in accordance with Article 22 paragraph 1 letter a.
- <sup>3</sup> If the calculation of the replacement costs of modern equivalent assets under Article 54 paragraph 2 letter a relates to new technology no longer based on the twisted metallic pair, then in calculating the price, the difference in value between the modern equivalent assets and the assets based on the twisted metallic pair must be taken into account. In doing so, the following applies:

a. The difference in value between the assets is derived from the difference in the revenues that can be achieved in the end customer market, taking account of the difference in the variable downstream costs.

- b. The different potential revenues and the variable downstream costs relate to the services and bundles of services that are provided by the different assets.
- c. A quotient must be obtained from the various values, the numerator of which is the value of the assets based on the twisted metallic pair and the denominator of which is the value of the modern equivalent assets (quotient method).
- d. The replacement costs are calculated by multiplying the quotient by the replacement costs of the modern equivalent assets.<sup>58</sup>
- <sup>4</sup> In the case of access to a partial section, the dominant provider may keep free the reserve space in its local distribution cabinets which it needs to meet its expected customers' demand in the near future for services provided via the local distribution cabinets. On request, it shall disclose the expected demand and the reserve space and provide justification for these.

#### Art. 59 Fast bitstream access

- <sup>1</sup> In its reference offer, the dominant provider shall publish the technical and commercial conditions for fast bitstream access, in particular:
  - a. the modalities for use of the bitstream connection;
  - b. the specifications of the relevant interfaces:
  - the specifications of the physical access to the access multiplexer and the access points;
  - d. the specifications of network compatibility.
- <sup>2</sup> The dominant provider must provide fast bitstream access regardless of whether a customer uses its voice telephony service. It may not shut down bitstream access if the customer cancels only its voice telephony service.
- <sup>3</sup> The period of four years provided for in Article 11 paragraph 1 letter b TCA begins at the time of effective availability of a national offering which complies with the legal requirements.

### **Art. 60** Rebilling of the connection

- <sup>1</sup> In its reference offer, the dominant provider shall publish the technical and commercial conditions for the rebilling of the fixed network connection.
- <sup>2</sup> The cost-oriented price as defined in Article 11 paragraph 1 TCA is calculated:

<sup>58</sup> Amended by No I of the Ordinance of 14 March 2014, in force since 1 July 2014 (AS 2014 729).

- a. by reducing the price for a connection actually charged by the dominant provider on a pro rata basis according to those costs which the dominant provider incurs because it charges all its customers for the connection;
- b. by increasing the price for a connection actually charged by the dominant provider on a pro rata basis according to those costs which it incurs as a result of charging the connection to other providers.
- <sup>3</sup> Only the provider pre-selected by the customer as the provider for national and international calls is entitled to bill for the local loop.

#### Art. 61 Interconnection

- <sup>1</sup> In its reference offer, the dominant provider shall publish the technical and commercial conditions for interconnection services, in particular:
  - a. the description of all standard interconnection points and the access conditions, both for the case in which the other provider wishes to establish the joining link itself and for the case in which it wishes to leave this to the dominant provider;
  - b. the specifications of the interconnection interfaces used and the signalling protocols.
- <sup>2</sup> The dominant provider of the public telephone service shall provide at least the following interconnection services:
  - a. originating, terminating and transit of calls;
  - b. identification of the calling line, identification of the connected line, and suppression of this information;
  - c. access to the value-added services 08xx and 09xx;
  - d. the physical connection between the telecommunication installations of different providers as required for the connection of services.
- <sup>3</sup> In addition to minute and call-based access prices, the dominant provider shall offer capacity-based access prices (capacity based charges) based on the maximum bandwidth used by the interconnection partner.<sup>59</sup>
- <sup>4</sup> If the calculation of the replacement costs of modern equivalent assets in accordance with Article 54 paragraph 2 letter a is based on new technology that is significantly different from the previously used technology, the following applies:
  - a. When the price is calculated for the first time, the costs in accordance with Article 54 paragraph 2 shall be based two thirds on the last-used previous assets and one third on the new assets.
  - b. In the following year, the costs in accordance with Article 54 paragraph 2 shall be based one third on the last-used previous assets and two thirds on the new assets

<sup>59</sup> Amended by No I of the Ordinance of 14 March 2014, in force since 1 July 2014 (AS 2014 729).

c. In the following years, the costs in accordance with Article 54 paragraph 2 shall be based in their entirety on the new assets.<sup>60</sup>

#### Art. 62 Leased lines

- <sup>1</sup> In its reference offer, the dominant provider shall publish the technical and commercial conditions for leased lines, in particular:
  - a. the technical specifications of leased lines;
  - b. the technical specifications for access and termination points;
  - c. the specifications of the interfaces.
- <sup>2</sup> If the calculation of the replacement costs of modern equivalent assets in accordance with Article 54 paragraph 2 letter a is based on new technology that is significantly different from the previously used technology, the following applies:
  - a. When the price is calculated for the first time, the costs in accordance with Article 54 paragraph 2 shall be based two thirds on the last-used previous assets and one third on the new assets
  - b. In the following year, the costs in accordance with Article 54 paragraph 2 shall be based one third on the last-used previous assets and two thirds on the new assets.
  - c. In the following years, the costs in accordance with Article 54 paragraph 2 shall be based in their entirety on the new assets.<sup>61</sup>

#### Art. 63 Access to cable ducts

<sup>1</sup> In its reference offer, the dominant provider shall publish the technical and commercial conditions for access to cable ducts, in particular:

- a. the modalities for access to cable ducts and for laying, maintaining and removing cables;
- b. the technical specifications of the transmission systems used.
- <sup>2</sup> The dominant provider's online system shall provide other providers with the following information, in particular:
  - a. the route of cable ducts which link specific geographical points;
  - b. if known, the capacities used and those still available;
  - c. the location of access chambers.

Inserted by No I of the Ordinance of 14 March 2014, in force since 1 July 2014 (AS 2014 729).

Inserted by No I of the Ordinance of 14 March 2014, in force since 1 July 2014 (AS 2014 729).

# **Section 3: Access Agreements and Procedure**

# Art. 64 Access agreements

Access agreements must be set down in writing and include at least the following main points:

- a. general commercial conditions;
- b. description of the access services;
- c. technical characteristics of these services:
- d. conditions for commissioning, operating and decommissioning access.

# **Art. 65** Confidentiality of the information

- <sup>1</sup> Information concerning the access negotiations is confidential. It may not be provided to other business units, subsidiaries, partners or third parties.
- <sup>2</sup> Information concerning customers which a provider obtains in connection with the processing of an access relationship may be used only within the framework of the access and for billing purposes.
- <sup>3</sup> An exception is the use of information to the effect that a customer has freely chosen a provider for national and international calls, has cancelled such a choice or has ported the telephone number to another provider, if:
  - a. this information is similarly available to all the providers concerned;
  - b. this information is used only by the surrendering or accepting provider; and
  - c. the customer has consented to the use of this information.
- <sup>4</sup> Confidentiality in accordance with paragraphs 1 and 2 does not apply in respect of ComCom and OFCOM.

# **Art. 66** Notification of the initiation of negotiations

- <sup>1</sup> A provider wishing to conclude an access arrangement may notify OFCOM in writing of the opening or resumption of negotiations, for purposes of proof.
- <sup>2</sup> In the case of changes to the agreement, the assumption that the negotiations began with the making of the corresponding offer applies.

#### **Art. 67** Obligation to notify

- <sup>1</sup> Access agreements shall be delivered to OFCOM within 2 weeks from the signing thereof. The same rule shall apply if the agreement is amended or terminated.
- <sup>2</sup> Any clause containing commercial secrets may be concealed for an inspection by third parties provided that it is summarised elsewhere. If the summary is incomplete, OFCOM may demand additional information.

# **Art. 68** Right to consult

If requested, OFCOM shall allow consultation of access agreements and decisions.

# **Art. 69** Extended scope

Articles 64-68 apply regardless of the dominance of a provider in the market.

# **Art. 70** Application for a decision concerning access

- <sup>1</sup> An application for a decision concerning access must include:
  - a. the requests;
  - b. the main facts;
  - c.62 the form provided by OFCOM, if the application is justified by the dominant position of the opponent of the application and the latter disputes the dominant position.
  - d. ...63
- $2 \dots 64$

#### Art. 71 Provisional measures

After having received the application, ComCom may decree provisional measures on its own initiative or at the request of one of the parties, in order to ensure access during the proceedings.

# Art. 72 Competition Commission

When the Competition Commission is consulted, it shall give an opinion within four weeks

# **Art. 73** Conciliation proceedings

Within the framework of its examination, OFCOM may institute conciliation proceedings.

<sup>&</sup>lt;sup>3</sup> OFCOM shall conduct the examination. If it deems the application to be incomplete or unclear, it shall allow the applicant a brief period to make an improvement. It shall inform the applicant that it will make a proposal to ComCom not to assess the application if the deficiency is not rectified within this period.

<sup>62</sup> Amended by No I of the Ordinance of 4 Nov. 2009, in force since 1 Jan. 2010 (AS 2009 5821).

Repealed by No I of the Ordinance of 4 Nov. 2009, with effect from 1 Jan. 2010 (AS 2009 5821).

Repealed by No I of the Ordinance of 4 Nov. 2009, with effect from 1 Jan. 2010 (AS **2009** 5821).

#### Art. 74 Access decision

- <sup>1</sup> On conclusion of the examination, OFCOM shall make a proposal to ComCom for a decision.
- <sup>2</sup> ComCom decides on the access conditions according to the principles which are customary in the market and in the sector.
- <sup>3</sup> If the dominant provider cannot provide evidence of compliance with costorientation, ComCom decides on the basis of comparable values in the market and in the sector. It may also decide prices on the basis of its own pricing and costing models or other appropriate methods, in particular if no suitable comparable values are available.

# Chapter 8: Use of Land in Public Use and Joint Use

## **Art. 75** Co-ordination with other building projects

- <sup>1</sup> Owners of land in public use may make permission to use that land subject to the condition that the telecommunications service provider must co-ordinate its building project with another, provided that the project can be completed within three months and that such temporary co-ordination does not excessively impede the intended use of the land in question.
- <sup>2</sup> Owners may require the provider to obtain information from other enterprises concerning the projects they are planning on a plot of land in public use. They shall advise the provider of the enterprises from which it must request such information. The provider may also request such information from other enterprises. The latter are obliged to reply within four weeks.

## **Art. 76** Relocation of lines and public pay telephones

- <sup>1</sup> The owner of land in public use shall notify the telecommunications service provider in writing of any relocation of lines or public pay telephones, stating the reasons. The latter shall express its position on the type and manner of the relocation, the costs and the bearing of the costs. If no agreement is reached on the type and manner of the relocation, the owner shall order the relocation, taking account of the information from the provider.
- <sup>2</sup> The costs of the relocation are generally borne by the provider. Owners of land in public use must, however, contribute appropriately, provided:
  - a. the current position of the line or public pay telephone corresponds to their explicit request;
  - b. there is co-use of the line for their own purposes;
  - the relocation of the line or public pay telephone is requested within one year of its installation;
  - the costs of other reasonable measures would be lower than those of relocation

<sup>3</sup> If the relocation takes place for the benefit of third parties, these are to be involved in the procedure. They shall contribute appropriately to the relocation costs.

# **Art. 77** Land owned by railway undertakings

- <sup>1</sup> Article 35 TCA applies by analogy to lines which cross land owned by railway undertakings by the most direct possible route.
- <sup>2</sup> The telecommunications service provider shall be liable for costs caused to the railway undertaking by the construction or maintenance of the lines.

## **Art. 78** Utilisation of roads

- <sup>1</sup> The owners of roads, with the exception of access roads, shall determine where telecommunications service providers lay their lines within the perimeter of the road
- <sup>2</sup> Provided this is reasonable for providers, owners in accordance with paragraph 1 may request that their free infrastructures be used, for appropriate compensation. The compensation shall not be more than the provider's estimated costs for laying its own lines.
- <sup>3</sup> Differing agreements between the parties involved concerning the use of land are reserved.
- <sup>4</sup> Article 76 paragraph 2 letter a is not applicable to roads, with the exception of access roads.

#### **Art. 79** Joint use

Appropriate compensation for the joint use of installations of other providers shall be the corresponding proportion of the total costs.

# Chapter 9: Telecommunications Confidentiality and Data Protection

# **Art. 80**<sup>65</sup> Processing traffic and billing data

<sup>1</sup> Telecommunications service providers may process the personal data of their customers in so far and for as long as this is necessary for establishing communications, fulfilling their obligations under Federal Act of 6 October 2000<sup>66</sup> on the Surveillance of Post and Telecommunications, and for obtaining due payment for their services.

<sup>65</sup> Amended by No I of the Ordinance of 5 Nov. 2014, in force since 1 Jan. 2015 (AS 2014 4161).

<sup>66</sup> SR **780.1** 

## **Art. 81** Communication of traffic and billing data

- <sup>1</sup> During the period in which they may dispute an invoice, customers may ask their telecommunications service provider to supply them with all the information used for billing. Where numbers of the calling connections are provided for this purpose, the last four digits of the numbers are not supplied.<sup>67</sup>
- <sup>2</sup> Data concerning calls to the 147 number may not be communicated.
- <sup>3</sup> In the case of connections with prepayment for services, the data must be communicated on request within one month of charging of the fee.
- <sup>4</sup> If customers dispute the bill for a value-added service or do not pay it on time, the telecommunications service provider may communicate to the value-added services provider concerned the available personal data of these customers which is necessary to enforce the claim.

# **Art. 82** Communication of data to identify nuisance calls and unfair mass advertising

- <sup>1</sup> Where a customer credibly shows in writing that he is the victim of nuisance calls or has received unfair mass advertising, the telecommunications service provider must supply him with the following information provided it is available to it:
  - a. date, time and duration of the calls or date and time of the message;
  - addressing resources, names and addresses of the customers whose connections were used for the calls or from which the unfair mass advertising was sent.
- <sup>2</sup> If the data cannot be provided retrospectively and a continuation of the nuisance calls or unfair mass advertising is probable, the provider must assemble the necessary data and communicate the data which may be requested to customers.
- <sup>3</sup> If nuisance calls are made or unfair mass advertising is sent from connections of customers of another telecommunications service provider, the latter must communicate the data to the telecommunications service provider of the customers making the request. Only providers involved in the transfer are required to disclose to the provider for the customers making the request the identity of the provider from which they received the nuisance calls or the unfair mass advertising.<sup>68</sup>

# Art. 83 Unfair mass advertising

- <sup>1</sup> Telecommunications service providers must protect their customers from receiving unfair mass advertising, as far as the state of the art permits.
- <sup>2</sup> They may suppress unfair mass advertising.

<sup>67</sup> Amended by No I of the Ordinance of 5 Nov. 2014, in force since 1 Jan. 2015 (AS 2014 4161).

<sup>68</sup> Amended by No I of the Ordinance of 5 Nov. 2014, in force since 1 Jan. 2015 (AS 2014 4161).

<sup>3</sup> If a provider is aware that one of its customers is sending or forwarding unfair mass advertising via its telecommunication network, it must immediately bar the sending of these messages and prevent the establishment of the corresponding connections. It may disconnect customers which send or forward unfair mass advertising from the telecommunication network.

- <sup>4</sup> Each provider must operate a notification service for unfair mass advertising which originates from its telecommunication network or which is forwarded via its telecommunication network.
- <sup>5</sup> OFCOM may issue technical and administrative regulations concerning the protection of customers from receiving unfair mass advertising.
- <sup>6</sup> In the case of violations of Article 3 letter o of the Unfair Competition Act of 19 December 1986<sup>69</sup> or corresponding foreign regulations, the competent federal office may obtain the information and request documents from providers as required in order to exercise its right to file an action and to provide administrative assistance in accordance with the above-mentioned Act.

# Art. 84 Calling line identification

- <sup>1</sup> When technically feasible with justifiable outlay, telecommunications service providers must offer their customers a simple and free-of-charge facility for concealing for individual calls or permanently the indication of their number that appears on the called person's equipment.
- <sup>2</sup> They must expressly draw their customers' attention to this facility when the subscription contract is concluded.
- <sup>3</sup> They must in all cases guarantee identification of the calling line for calls for which location must be guaranteed in accordance with Article 29 paragraph 1 and Article 90 paragraph 4 and for calls to the transcription service for the hearing impaired in accordance with Article 15 paragraph 1 letter f. Other than in the case of calls to their own fault service, no other customer shall be provided with an indication of the number of a caller who has requested suppression of the calling line identification.

#### Art. 85 Called line identification

- <sup>1</sup> When technically feasible with justifiable outlay, telecommunications service providers shall offer their customers a facility for concealing the indication of their number on the equipment of the caller.
- <sup>2</sup> They must expressly draw their customers' attention to this facility when the subscription contract is concluded.

# **Art. 86** Automatic call forwarding

When technically feasible with justifiable outlay, telecommunications service providers must, without charge, offer their customers a facility for stopping automatic call forwarding by a third party to their equipment.

# **Art. 87** Security of telecommunications services

- <sup>1</sup> Telecommunications service providers shall inform their customers of the risks involved in using their services with regard to interception and intervention by unauthorised third parties.
- <sup>2</sup> They must offer or indicate appropriate means of eliminating those risks.

#### Art. 88 Directories

<sup>1</sup> Customers appearing in a directory shall have the right to state clearly therein that they do not wish to receive advertising communications from third parties and that their particulars may not be communicated for direct canvassing.

2 and 3 ... 70

<sup>4</sup> Suppliers of online directories shall adopt the appropriate technical and organisational measures to prevent the contents of an entry or part of the directory from being changed or deleted by unauthorised persons.

# Art. 89 Data protection legislation

Provided this Ordinance does not contain any special regulations, the Federal Act of 19 June 1992<sup>71</sup> on Data Protection applies.

# **Chapter 10: Vital National Interests**

# Section 1: Services in Extraordinary Circumstances

#### Art. 90 Services

- <sup>1</sup> Telecommunications service providers may be required to provide the following services to bodies responsible for coping with extraordinary circumstances in accordance with Article 91:
  - a. services of the universal service;
  - b. high-speed data transmission;
  - c. leased lines;
  - d. pager services.

Repealed by No I of the Ordinance of 5 Nov. 2014, with effect from 1 Jan. 2015 (AS 2014 4161).

<sup>71</sup> SR **235.1** 

<sup>2</sup> The providers subject to the obligation in accordance with Article 92 must take the necessary preparatory measures to this end and must ensure that the necessary infrastructure can be operated from within the country and independently.

- <sup>3</sup> If necessary, they must allow joint use of their premises and installations and allow exercises to be carried out provided normal operation of their services is not impaired thereby.
- <sup>4</sup> On request by the bodies in accordance with Article 91, OFCOM shall determine the numbers for which caller location is to be guaranteed. For these numbers, the bodies receive access to the service described in Article 29 paragraph 2.

#### **Art. 91** Authorised bodies

The following bodies may make use of the services referred to in Article 90:

- a. the armed forces, civil defence, national economic supply and the civil executive staffs;
- the police, fire brigade and bodies charged by the communities with rescue missions and health services;
- c. bodies which can be drawn on to provide assistance to the civil authorities as referred to in Article 67 of the Armed Forces Act of 3 February 1995<sup>72</sup>.

## Art. 92 Providers

- <sup>1</sup> In principle, the body responsible for preparing communications in extraordinary circumstances shall order on a contractual basis the services which they require from the telecommunications service provider of their choice.
- <sup>2</sup> Following an unsuccessful invitation to tender, the body may ask OFCOM to require a provider to provide the necessary services.
- <sup>3</sup> The Federal Council may require telecommunications service providers whose installations or services are of importance in extraordinary circumstances to prepare for such situations.

## **Art. 93** Compensation

- <sup>1</sup> Compensation for telecommunications service providers for their services shall be governed by contract with the bodies responsible for preparing communications in extraordinary circumstances. It shall be based on the following cost factors:
  - a. the normal prices for using public services;
  - b. the normal prices for networks operated by the police, rescue organisations and health services;
  - the costs incurred for preparing the telecommunications installations and premises;

## 72 SR **510.10**

- d. the costs incurred for permanent networks; if those networks are used for other purposes, the normal prices shall apply;
- e. for exercises:
  - 1. the normal prices for using public services,
  - 2. the costs of preparing the installations used and the costs of taking them out of service,
  - 3. the costs for the actual period of use of the installations.
- <sup>2</sup> When a provider is obliged to provide the requested services, OFCOM shall fix the compensation in accordance with the factors mentioned in the first paragraph.

# **Section 2:** Restriction of Telecommunications

## Art. 94 Measures

- <sup>1</sup> DETEC may order, provided the chosen technology allows, that civil telecommunications be restricted to only those customers with important duties to fulfil in extraordinary circumstances. It may decree that the telecommunications traffic of these customers be prioritised over other civil telecommunications traffic.
- <sup>2</sup> The priority treatment of customers with important duties to fulfil in extraordinary circumstances must not be adversely affected by prioritisations of other customers. If the implemented method of prioritisation permits, in extraordinary circumstances the National Emergency Operations Centre may restrict telecommunications in accordance with the first paragraph for a maximum of 36 hours. It shall immediately inform OFCOM.
- <sup>3</sup> Telecommunications service providers are authorised to restrict telecommunications partially for no more than 36 hours if they find that their network is overloaded. They shall immediately inform OFCOM.
- <sup>4</sup> OFCOM may issue technical and administrative regulations concerning the restriction of civil telecommunications traffic in extraordinary circumstances.

#### **Art. 95** Preparatory measures

- <sup>1</sup> The bodies designated by the Federal Council for telematics coordination shall, together with telecommunications service providers, prepare the measures in accordance with Article 94 paragraphs 1 and 2.
- <sup>2</sup> If prioritisation in accordance with Article 94 is not used commercially, the Confederation bears the costs of the preparatory measures.

# Section 3: Security and Availability

#### Art. 96

<sup>1</sup> Telecommunications service providers must immediately inform OFCOM of faults in the operation of their networks which affect a relevant number of customers.

<sup>2</sup> OFCOM may issue the technical and administrative regulations concerning the handling of information security, the obligation to report faults in the operation of their networks and other measures which make a contribution to the security and availability of telecommunications infrastructures and services. It may declare internationally harmonised standards concerning security and availability of telecommunications infrastructures and services to be binding.<sup>73</sup>

# **Chapter 11: Official Telecommunications Statistics**

#### **Art. 97** OFCOM's powers

- <sup>1</sup> OFCOM shall produce the official telecommunications statistics, in particular in order to perform the evaluation of the telecommunications legislation, to take the necessary regulatory decisions and to ensure the implementation of the universal service.
- <sup>2</sup> It shall ensure the collection and processing of the data as well as all statistical work within the framework of paragraph 1.
- <sup>3</sup> Pursuant to the Ordinance of 30 June 1993 on the Conduct of Statistical Surveys by the Confederation<sup>74</sup>, it shall co-ordinate its statistical work with the Federal Statistical Office and co-operate with that office.

# Art. 98 Data collected by OFCOM

- <sup>1</sup> OFCOM shall collect the data required for production of the official telecommunications statistics from the telecommunications service providers. It may also incorporate the data obtained through the implementation of telecommunications legislation or by other authorities implementing federal legislation.
- <sup>2</sup> By means of an annual questionnaire, it shall collect data on the networks and services of the providers, in particular with regard to:
  - a. the companies themselves (in particular name or company name, address and other contact information, area of activity);
  - b. the network characteristics (in particular the type, technical characteristics, number and type of connections, coverage in relation to population and area, number of orders completed with regard to carrier pre-selection);

<sup>73</sup> Amended by No I of the Ordinance of 5 Nov. 2014, in force since 1 Jan. 2015 (AS 2014 4161).

<sup>74</sup> SR **431.011** 

- c. the various types of service provided on their networks, their characteristics and the use thereof (in particular price, number of customers, turnover per service, duration and number of calls, volume of calls per service, number of resellers, services in favour of third parties provided via non-geographical service numbers, type and extent of the infrastructure leased to third parties).
- <sup>3</sup> It shall collect data by means of an annual questionnaire on the financial status of the providers, in particular with regard to:
  - a. the companies themselves (in particular name or company name, address and other contact information, area of activity);
  - b. the operating revenue per type of service;
  - the operating costs, in particular the purchase of products, purchase of services (services provided by other operators per type of network and other services), personnel costs and depreciation;
  - d. the results, in particular the operating profit, non-operating profit, pre-tax profit and net profit;
  - capital expenditure, in particular on fixed assets, such as investment in operating equipment for telecommunications services per type of network and investment in intangible assets and financial assets;
  - f. number of employees.
- <sup>4</sup> Data may be collected by other means, in particular by means of one-time distributed questionnaires.

# **Art. 99** Obligations of the telecommunications service providers

- <sup>1</sup> The telecommunications service providers shall provide OFCOM with the information necessary to produce the official telecommunications statistics free of charge.
- <sup>2</sup> They must in particular complete OFCOM's questionnaires in full, truthfully and in good time.

# Art. 100 Use of the data

- <sup>1</sup> The personal data collected for statistical purposes may be made available to public or private services and statistical services of international organisations which require such data to carry out statistical work, provided:
  - a. it is rendered anonymous, if the purpose of the processing so permits;
  - b. the recipient undertakes not to forward the data to third parties and to destroy it or return it to OFCOM on completion of the work;
  - c. the persons concerned cannot be identified because of the form selected by the recipient for the publication of the results:
  - d. everything indicates that the recipient will comply with statistical secrecy and will comply with federal law on data protection;

e. no overriding public or private interests preclude this.

# **Art. 101** OFCOM's data protection measures

OFCOM shall take the technical and organisational measures to protect the collected data from misuse. In particular it shall entrust the statistical work to an independent organisational unit which does not exercise any administrative or supervisory function

# **Art. 102** Official secrecy

The persons entrusted with carrying out statistical work are subject to professional confidentiality with regard to the data on natural or legal persons which they have acquired in the exercise of their activity.

## **Art. 103** Dissemination of the statistical results

- <sup>1</sup> OFCOM shall publish the statistical results which are of public interest or shall make them accessible by means of a request procedure. It may provide the non-published data or data not made available via a request procedure on demand and for a fee, provided no public or private interests preclude this.
- <sup>2</sup> The results must be in a form which does not permit any conclusions to be drawn about the situation of a natural or legal person, unless the processed data has been made accessible to the public by the person concerned or they have given their consent.
- <sup>3</sup> The use or reproduction of statistical results is permitted subject to acknowledgement of the source. OFCOM may provide for exceptions.

# Chapter 12:

# Participation in the Activities of the International Telecommunication

#### Art. 104

- <sup>1</sup> Providers of international telecommunications services or providers whose services might cause damaging interference shall have the status of "Recognised Operating Agencies" as defined in Article 19 of the International Telecommunication Union Convention of 22 December 1992<sup>75</sup> (ITU Convention).
- <sup>2</sup> OFCOM may recognise as "Sector Members" (Article 19, ITU Convention) other telecommunications service providers and other organisations or institutions with a registered office or commercial activities in Switzerland if they guarantee that they fulfil the requirements of the International Telecommunication Union.

<sup>75</sup> SR **0.784.02** 

# Chapter 13: Final Provisions Section 1: Implementation

#### Art. 105

- <sup>1</sup> OFCOM shall issue the technical and administrative regulations.
- <sup>2</sup> It is authorised to conclude international agreements on technical or administrative matters pertaining to this Ordinance.

# **Section 2:** Repeal and Amendment of Existing Legislation

# **Art. 106** Repeal of Existing Legislation

The Ordinance on Telecommunications Services of 31 October 2001<sup>76</sup> is repealed.

**Art. 107** Amendment of Current Legislation

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#### **Section 3: Transitional Provisions**

#### **Art. 108** Headquarters or branch office in Switzerland

Providers of value-added services which on the commencement of this Ordinance have their headquarters abroad must within six months designate a headquarters or branch office in a contracting state to the Convention of 16 September 1988 on Jurisdiction and the Enforcement of Judgements in Civil and Commercial Matters<sup>78</sup> in accordance with Article 37 paragraph 2.

#### Section 4: Commencement

#### Art. 109

- <sup>1</sup> This Ordinance comes into force on 1 April 2007, with the exception of the provisions cited in paragraphs 2 and 3.
- <sup>2</sup> Articles 15, 16, 17 paragraph 2, 21 paragraph 1 and 22 paragraphs 1 and 2 come into force on 1 January 2008.
- <sup>3</sup> Article 9 comes into force on 1 October 2008.

<sup>78</sup> SR **0.275.11** 

<sup>&</sup>lt;sup>76</sup> [AS **2001** 2759, **2002** 158 271, **2003** 544 4767, **2005** 669 3555, **2006** 3939]

The amendments may be consulted under AS **2007** 945.